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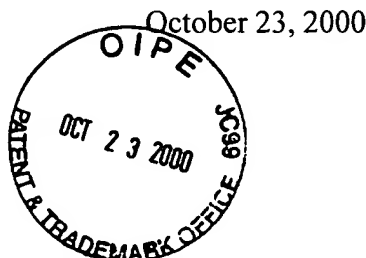
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Commissioner for Patents
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Re: U.S. Utility Patent Application
Appl. No. 09/438,365; Filed: November 12, 1999
For: New Transfection Reagents
Inventors: Chu *et al.*
Our Ref: 0942.4650001/RWE/THN

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Second Supplemental Information Disclosure Statement (*in duplicate*);
2. Form PTO-1449 (1 sheet citing 1 document);
3. One (1) document cited in Form PTO-1449; and
4. One (1) return postcard.

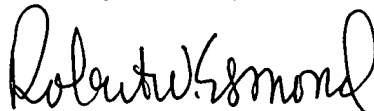
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Commissioner for Patents
October 23, 2000
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge all requisite fees to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Robert W. Esmond". The signature is fluid and cursive, with the first name "Robert" and last name "Esmond" clearly distinguishable.

Robert W. Esmond
Attorney for Applicants
Registration No. 32,893

RWE/THN/lam

Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHU *et al.*

Appl. No. 09/438,365

Filed: November 12, 1999

For: **New Transfection Reagents**

Art Unit: 1643

Examiner: *To be assigned*

Atty. Docket: 0942.4650001/RWE/THN



Second Supplemental Information Disclosure Statement

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Commissioner for Patents
Washington, D.C. 20231

Sir:

TECH CENTER 1600/2900

Listed on accompanying Form PTO-1449 is a document that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of this document is provided. The numbering of the document in this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed March 16, 2000 in connection with the above-captioned application.

Applicants have listed the publication date on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication date should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

It is further understood that the Examiner will consider information that had been cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

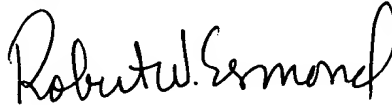
It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

This Second Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date but before the mailing date of a first Office Action on the merits. No fee is required.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Attorney for Applicants
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Date: Oct 23, 2000

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